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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Ahn *et al.*  
Serial No.: 10/573,359  
Filed: 3/24/2006  
Entitled:

Group No.: not assigned  
Examiner: not assigned

**Process for Purifying Human Thrombopoietin  
With High Content of Sialic Acid**

**INFORMATION DISCLOSURE  
STATEMENT TRANSMITTAL**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8(a)(1)(i)(A)**

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Date: February 26, 2007

By: \_\_\_\_\_

*Mary Ellen Waite*  
Mary Ellen Waite

Sir or Madam:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including references, in the above-identified application, for filing in the U.S. Patent Office.

This Information Disclosure Statement and PTO Form-1449 is being filed before the issuance of a first Office Action, therefore, Applicants believe no fee is required.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

Dated: February 26, 2007

*Tanya A. Arenson*  
Tanya A. Arenson  
Registration No. 47,391

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608/218-6900



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Date: February 26, 2007 By: Mary Ellen Waite

Sir or Madam:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

- U.S. Patent No. 5,879,673
- U.S. Patent No. 5,696,250
- U.S. Patent No. 5,989,538
- U.S. Patent No. 5,633,162
- U.S. Patent No. 5,744,587
- WO 00/00612 A
- WO 03/057866 A

- EP 0 668 352 A
- WO 98/52598 A
- CN 1 369 507 A
- WO 95/18868
- WO95/21919
- WO 95/18858
- WO96/25498
- O'Connor, "Chromatography of recombinant proteins," *Developments in Biological Standardization*, 97:39-47 (1999)
- Lok et al., "Promotion of megakaryocyte progenitor expansion and differentiation by the c-Mpl ligand thrombopoietin," *Nature*, 369: 565-568 (1994)
- de Sauvage et al., "Stimulation of megakaryocytopoiesis and thrombopoiesis by the c-Mpl ligand," *Nature*, 369: 533-538 (1994)
- Miyazaki et al., *Experimental hematol.*, 22: 828 (1994)
- Shinjo et al., "Serum thrombopoietin levels in patients correlate inversely with platelet counts during chemotherapy-induced thrombocytopenia," *Leukemia*, 12: 295-300 (1998)
- Pascual-Castroviejo et al., "Hypomelanosis of ITO. A study of 76 infantile cases," *Brain Dev* 20(1): 36-43 (1998)
- Takeuchi et al., "Relationship between sugar chain structure and biological activity of recombinant human erythropoietin produced in Chinese hamster ovary cells.," *Proc. Natl. Acad. Sci. USA*. 86: 7819(1989)
- Morimoto, et al., "Biological and physicochemical characterization of recombinant human erythropoietins fractionated by Mono Q column chromatography and their modification with sialyltransferase," *Glycoconj J.*, 13(6): 1013-20(1996)
- Park et al., "Identification of functionally important residues of human thrombopoietin," *J. Biol. Chem.*, 273:256-261, 1998)

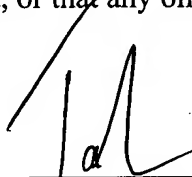
- Inoue et al., "Asn-linked sugar chain structures of recombinant human thrombopoietin produced in Chinese hamster ovary cells," Glycoconj J. 16(11):707-719 (1999)
- Kato W et al., "Purification and characterization of thrombopoietin," J Biochem 118(1):229-236 (1995)
- Kaszubska et al, "Expression, purification, and characterization of human recombinant thrombopoietin in Chinese hamster ovary cells," Protein Expression and Purification, 18:213-220 (2000)

Applicants wish to bring to the examiners attention that we are not providing copies of US Patents as instructed under 37 CFR 1.98(a)(2).

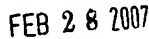
Applicants' herewith submit the Supplementary European Search Report from EP 03 75 1496.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these citations constitutes prior art.

Dated: February 26, 2007



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Approved for use through 07/31/2006. OMB 0651-0031

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| Sheet | 1 | of | 4 |
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**Complete if Known**

|                        |              |
|------------------------|--------------|
| Application Number     | 10/573,359   |
| Filing Date            | 3/24/2006    |
| First Named Inventor   | Ahn et al.   |
| Art Unit               | not assigned |
| Examiner Name          | not assigned |
| Attorney Docket Number | HANOL-10524  |

## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | -6 |
|--------------------|-----------------------|---|--------------------------------|---|---|----|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) |                                |   |   |    |
|                    | 6                     | WO 00/00612 A   | 01/06/2000                     | Chung et al.                                    | entire document   |    |
|                    | 7                     | WO 03/057866 A  | 07/17/2003                     | Lee et al.                                      | entire document   |    |
|                    | 8                     | EP 0 668 352 A  | 08/23/1995                     | Kirin Brewery                                   | entire document   |    |
|                    | 9                     | WO 98/52598 A   | 11/26/1998                     | Cohen et al.                                    | entire document   |    |
|                    | 10                    | CN 1 369 507 A  | 09/18/2002                     | Univ Nanjing                                    | entire document   |    |
|                    | 11                    | WO95/18868  | 07/13/1995                     | Cook et al.                                     | entire document   |    |

Date  
Considered

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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|                        |              |
|------------------------|--------------|
| Application Number     | 10/573,359   |
| Filing Date            | 3/24/2006    |
| First Named Inventor   | Ahn et al.   |
| Art Unit               | not assigned |
| Examiner Name          | not assigned |
| Attorney Docket Number | HANOL-10524  |

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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

| FOREIGN PATENT DOCUMENTS |                       |   |                                |   |   |                |
|--------------------------|-----------------------|---|--------------------------------|---|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |   |   |                |
|                          | 12                    | WO95/21919  | 08/17/1995                     | Miyazuki et al                                  | entire document   |                |
|                          | 13                    | WO 95/18858   | 07/13/1995                     | Eaton et al.                                    | entire document   |                |
|                          | 14                    | WO96/25498  | 08/22/1996                     | Elliott   | entire document   |                |
|                          |                       |   |                                |   | entire document   |                |
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|  |   | First Named Inventor     | Ahn et al.   |
|  |   | Art Unit                 | not assigned |
|  |   | Examiner Name            | not assigned |
| Sheet  | 3 | of                       | 4            |
|  |   | Attorney Docket Number   | HANOL-10524  |

| NON PATENT LITERATURE DOCUMENTS |                       |   |                |
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| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 | 15                    | O'Connor, "Chromatography of recombinant proteins," Developments in Biological Standardization, 97:39-47 (1999)   |                |
|                                 | 16                    | Lok et al., "Promotion of megakaryocyte progenitor expansion and differentiation by the c-Mpl ligand thrombopoietin," Nature, 369: 565-568 (1994)   |                |
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|                                 | 22                    | Morimoto, et al., "Biological and physicochemical characterization of recombinant human erythropoietins fractionated by Mono Q column chromatography and their modification with sialyltransferase," Glycoconj J., 13(6): 1013-20(1996)                         |                |
|                                 | 23                    | Park et al., "Identification of functionally important residues of human thrombopoietin," J. Biol. Chem., 273:256-261, 1998)  |                |
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|  |      | Examiner Name            | not assigned |
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